

**SIDDHARTH INSTITUTE OF ENGINEERING & TECHNOLOGY:: PUTTUR
(AUTONOMOUS)**

MBA II Year I Semester Regular & Supplementary Examinations January/February-2025

FINANCIAL MARKETS AND SERVICES

Time: 3 hours

Max. Marks: 60

SECTION – A

(Answer all Five Units 5 x 10 = 50 Marks)

UNIT-I

- | | | | | | |
|---|---|---|-----|----|----|
| 1 | a | Describe the functions of financial system. | CO1 | L1 | 5M |
| | b | Discuss the objectives of financial system. | CO1 | L2 | 5M |

OR

- | | | | | | |
|---|---|---|-----|----|----|
| 2 | a | Write a short note on financial intermediaries. | CO1 | L1 | 5M |
| | b | Elaborate the role and functions of SEBI. | CO1 | L2 | 5M |

UNIT-II

- | | | | | | |
|---|---|-------------------------------------|-----|----|----|
| 3 | a | Write a short note on bank capital. | CO2 | L1 | 5M |
| | b | Discuss the role of AMFI. | CO2 | L2 | 5M |

OR

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|---|---|--|-----|----|----|
| 4 | a | Write a short note on banking innovations. | CO2 | L1 | 5M |
| | b | Determine the role of IRDA. | CO2 | L2 | 5M |

UNIT-III

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|---|---|--|-----|----|----|
| 5 | a | Write short note on functions of money market. | CO3 | L1 | 5M |
| | b | Explain the types of T-Bill market. | CO3 | L2 | 5M |

OR

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|---|---|---|-----|----|----|
| 6 | a | Differentiate the relationship between Money market and Capital market. | CO3 | L1 | 5M |
| | b | Describe the functions of New Issue Market. | CO3 | L2 | 5M |

UNIT-IV

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|---|---|---|-----|----|----|
| 7 | a | Explain the different kinds of Leasing. | CO4 | L1 | 5M |
| | b | List out the advantages and disadvantages of venture capital. | CO4 | L2 | 5M |

OR

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|---|---|--|-----|----|----|
| 8 | a | Distinguish between Financial Lease and Operating Lease. | CO4 | L1 | 5M |
| | b | Write a short note Housing finance. | CO4 | L2 | 5M |

UNIT-V

- | | | | | | |
|---|---|---|-----|----|----|
| 9 | a | Discuss the various fee-based services offered by financial services. | CO5 | L1 | 5M |
| | b | Write a short note on CRISIL. | CO5 | L2 | 5M |

OR

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|----|---|---------------------------------------|-----|----|----|
| 10 | a | Discuss depository services in India. | CO5 | L1 | 5M |
| | b | Write a short note on Underwriting. | CO5 | L2 | 5M |

SECTION – B

(Compulsory Question)

- 11 1 x 10 = 10 Marks

A bank named 'Dhan Sangraha Karta' allows Kapoor family to deposit their money time and again in it. It gives a definite return to this family which is in the form of interest. To get this interest the money stored in the household of Kapoor family gets entry into this bank. Nearby there is a stock exchange, Mr. Raj an Kapoor, who is the head of the family, goes there and buys securities. He says that in this way more return is earned by him on his saved money though the risk is also there.

- i) Identify the process in above case performed by the bank and the stock exchange.
- ii) What are the roles of the bank and the stock exchange with respect to each other?
- iii) Name a condition for the bank to perform its role in this process.
- iv) Name a condition for the stock market to perform its role in this process.

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